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INTELLECTUAL PROPERTY LAW

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DENVER/ENGLEWOOD, CO**FACSIMILE TRANSMITTAL SHEET**  
**DELIVER IMMEDIATELY!**

**DATE:** April 24, 2003

**DELIVER TO:** Examiner: Alonzo Chambliss      **ART UNIT:** 2827

**FAX NO.:** 1-703-746-3857

**FROM:** Kathy J. Ortiz

**OUR REF. NO.:** 042390.P5142D

**NO. OF PAGES:** 8 (including cover sheet)

**APPLICANT:** John F. McMahon

**APPLICATION NO.:** 09/768,580      **FILED:** January 23, 2001

**FOR:** Method and Fabricating A Stacked Chip Package

**REMARKS:** Attached is the Request for RCE, Fee Transmittal (duplicate),  
Check No. 13188 for \$750.00, Check No. 13189 for \$930.00,  
Petition for Extension of Time of 3 Months, and Return Receipt  
Postcard filed on 4/23/03 per your request.

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office at the facsimile number indicated above on:

Date of Transmission: April 24, 2003

Name of Person Transmitting: Deborah L. Higham

Signature:  Date: 4/24/03

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Application No.: 09/768,580 Filing Date: 01/23/01 BSTZ Docket #: 042380.P5142D AttySec: EHT/MAB/KJOY/dlh  
Date Mailed: 04/23/2003 Docket Due Date(s): 04/23/2003 Client: INTEL CORPORATION  
Title METHOD AND FABRICATING A STACKED CHIP PACKAGE

Inventor(s) McMahon

*The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:*

- |  |  |
|--|--|
| <input type="checkbox"/> Amendment: (___ pgs)                                  | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (___ pgs)      |
| <input type="checkbox"/> Appeal Brief & two copies (___ pgs)                   | <input type="checkbox"/> Issue Fee Transmittal (original & copy)                     |
| <input type="checkbox"/> Application: (___ pages w/ cover & abstract)          | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)      |
| <input type="checkbox"/> Assignment & Cover Sheet (___ pgs)                    | <input type="checkbox"/> Notice of Appeal  |
| <input checked="" type="checkbox"/> Certificate of Mailing                     | <input type="checkbox"/> Petition for: ___   |
| <input type="checkbox"/> Continued Prosecution Application (CPA)               | <input checked="" type="checkbox"/> Request for Continued Examination (RCE)          |
| <input type="checkbox"/> Declaration & POA (___ pgs)                           | <input type="checkbox"/> Reply Brief (___ pgs)                                       |
| <input type="checkbox"/> Drawings: ___ sheets, ___ figures                     | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request          |
| <input type="checkbox"/> Express Mail No: ___                                  | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter    |
| <input checked="" type="checkbox"/> Extension of Time: <u>three (3) months</u> | <input type="checkbox"/> Terminal Disclaimer   |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy)          | <input type="checkbox"/> Transmittal of Formal Drawings                              |
| <input type="checkbox"/> Other: _____  | <input type="checkbox"/> Transmittal of Publication Fee Due                          |
|  | <input type="checkbox"/> Transmittal Letter  |
|  | <input checked="" type="checkbox"/> Check No. <u>13186</u> in the Amount of \$750.00 |
|  | <input checked="" type="checkbox"/> Check No. <u>13187</u> in the Amount of \$930.00 |

LO

Assignee: Intel Corporation

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP  
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 5285 SW MEADOWS ROAD, SUITE 101  
 LAKE OSWEGO, OREGON 97035  
 (503) 684-6200


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01-118  
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DATE: 4/23/03

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TO THE ORDER OF  
 DIRECTOR OF THE UNITED STATES  
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AUTHORIZED SIGNATURE 

MP

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DATE \_\_\_\_\_

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4/23/2003 U.S. Patent and Trademark Office Request for

Continued Examination filing fee

# Method and Fabricating A Stacked Chip Package

Case 1:09-cv-00001-UNA Document 1-1 Filed 01/23/20 Page 1 of 1

Inventor: John F. McMahon

Examiner: A. Chambliss/Art Unit: 2827

042390.P51420 EHT/HAB/GDC/(KJO)/dlh

Intel Corporation

2957

13188

13189

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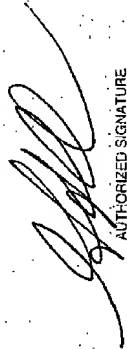
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DATE: 4/23/03

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DATE DESCRIPTION ACCT. NO. AMOUNT

4/23/2003 U.S. Patent and Trademark Office extension of time 9670.1 \$ 930.00

fee of three months for

Method and Fabricating A Stacked Chip Package

A/N: 09/768,580 Filed: 1/23/2001

Inventor: John F. McMahon

Examiner: A. Chambliss/Art Unit: 2827

\*Bill INTEL for 3 month extension of time\*

042390.P5142D EHT/MAB/GDC/(KJO)/dlh

Intel Corporation

22938

13189

PTO/SB/30 (10-01)

Approved for use through 10/31/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commissioner for Patents  
BOX RCE  
Washington, DC 20231

Application No.	09/768,580
Filing Date	January 23, 2001
First Named Inventor	John F. McMahon
Group Art Unit	2827
Examiner Name	Alonzo Chambliss
Attorney Docket Number	42390P5142D

**This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR § 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-2666.
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) and any additional claims fee(s)
- ii. ☒ Extension of time fee (37 C.F.R. § 1.136 and 1.17)
- iii. ☐ Other: (\$00)
- b. ☒ Check in the amount of \$1,680.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

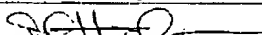
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926
Signature		Date	April 23, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Box RCE, Assistant Commissioner for Patents, Washington, D.C. 20231 on:

April 23, 2003

Name (Print/Type)	Deborah L. Higham	Date	April 23, 2003
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

PTO/SB/17 (01-03)

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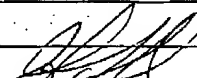
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>FEE TRANSMITTAL for FY 2003</b>		<b>Complete if Known</b>	
<small>Effective 01/01/2003. Patent fees are subject to annual revision.</small>		Application Number	09/768,580
		Filing Date	January 23, 2001
		First Named Inventor	John F. McMahon
		Examiner Name	Alonzo Chambliss
		Group/Art Unit	2827
		Attorney Docket No.	42390P5142D
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.			
TOTAL AMOUNT OF PAYMENT (\$)		1,680.00	

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																					
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ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>2053</td> <td>130</td> <td>2053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920 *</td> <td>1804</td> <td>920 *</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840 *</td> <td>1805</td> <td>1,840 *</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>410</td> <td>2252</td> <td>205</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>930</td> <td>2253</td> <td>465</td> <td>Extension for reply within third month</td> <td>930.00</td> </tr> <tr> <td>1254</td> <td>1,450</td> <td>2254</td> <td>725</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>1,970</td> <td>2255</td> <td>985</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1404</td> <td>320</td> <td>2401</td> <td>180</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>320</td> <td>2402</td> <td>180</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>2451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,300</td> <td>2453</td> <td>650</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,300</td> <td>2501</td> <td>650</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>470</td> <td>2502</td> <td>235</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>630</td> <td>2503</td> <td>315</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1480</td> <td>130</td> <td>2480</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>750</td> <td>1809</td> <td>375</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>1810</td> <td>750</td> <td>2810</td> <td>375</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>1601</td> <td>750</td> <td>2801</td> <td>375</td> <td>Request for Continued Examination (RCE)</td> <td>750.00</td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802</td> <td>900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="6">Other fee (specify) _____</td> </tr> <tr> <td colspan="6">* Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="5">SUBTOTAL (3)</td> <td>1,680.00</td> </tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		2053	130	2053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action		1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month	930.00	1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1404	320	2401	180	Notice of Appeal		1402	320	2402	180	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	2451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1480	130	2480	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		1601	750	2801	375	Request for Continued Examination (RCE)	750.00	1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____						* Reduced by Basic Filing Fee Paid						SUBTOTAL (3)					1,680.00
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\*or number previously paid, if greater. For Reissues, see below

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926
Signature		Telephone	(503) 684-6200
		Date	04/23/03

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